

# LOCAL SYSTEMS IN SIMPLE HOMOTOPY THEORY

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## 1. INTRODUCTION

This talk will be about simple homotopy theory, the field that tries to answer the question: *To what extent can homotopy theory be reduced to the geometry/combinatorics of finite CW-complexes.* Our goal is to motivate a modern perspective on some classical objects of study in simple homotopy theory.

As a standing assumption, all spaces will be connected.

## 2. WALL'S OBSTRUCTION

There are two very natural questions one could ask. A classical question:

**Question 2.1.** *If  $Y$  is a finite CW-complex, and  $r: Y \rightarrow X$  is a retract, i.e. there is a map  $s: X \rightarrow Y$  such that  $r \circ s \simeq \text{id}_X$ , is  $X$  also a finite CW-complex? We call such an  $X$  finitely dominated.*

And a modern question:

**Question 2.2.** *Is the map  $S^{\text{fin}} \rightarrow S^\omega$  (where  $\omega$  denotes compact objects) an equivalence?*

These are the same question. Being a compact object in spaces is the same as being homotopy equivalent to a finitely dominated CW-complex. These questions were answered in the negative by Wall, in the following way.

For a finitely dominated space  $X$  let  $\tilde{X} \rightarrow X$  be the universal cover of  $X$ . Then Wall associates to  $C_\bullet(\tilde{X})$  an element of  $\tilde{K}_0(\mathbb{Z}[\pi_1(X)])$  called  $w(C_\bullet(\tilde{X}))$  or just  $w(X)$ . This process of taking  $w(X)$  should be thought of as “taking an alternating sum” and relies heavily on  $X$  being finitely dominated.

**Theorem 2.3** (Wall [Wal65]). *Suppose that  $X$  is finitely dominated. Then  $X$  is finite if and only if  $w(X) = 0$ . Further for element of  $w \in \tilde{K}_0(\mathbb{Z}[G])$  there is a finitely dominated space  $X$  with  $\pi_1(X) = G$  and  $w(X) = w$ .*

This  $w$  is called the *Wall finiteness obstruction*. The modern construction of this obstruction will look somewhat different. To begin, we modify where the obstruction will live.

$$K(\mathbb{Z}[\pi_1(X)]) \simeq_{\pi_0} K(H\mathbb{Z}[\Omega X]) \simeq_{\pi_0} K(\mathbb{S}[\Omega X]) \simeq K(\text{Mod}_{\mathbb{S}[\Omega X]}^\omega).$$

Then using Schwede-Shipley [Lur17] 7.1.2.3 we know that  $\text{Mod}_{\mathbb{S}[\Omega X]} \simeq \text{Fun}(X, \mathbb{S}p)$ , this  $\text{Fun}(X, \mathbb{S}p)$  or  $\text{Sp}^X$  is what we call the category of local systems of spectra on  $X$ .

In the remainder of this talk, we will develop simple homotopy in  $K((\text{Sp}^X)^\omega)$ .

### 3. LOCAL SYSTEMS.

The first notable thing about  $\text{Sp}^X$  is that it has a six-functor formalism. We will not describe the entirety of this formalism here, but some of the functors will play a roll. It is worth remarking that the six-functor formalism for local systems is especially good, for example every pushout square of spaces gives a Beck-Chevalley (base change) square.

**Definition 3.1.** Let  $\mathbb{S}_X$  denote the constant local system with value  $\mathbb{S}$  on  $X$ . Then  $w(X) = [\widetilde{\mathbb{S}_X}]$ .

Before we proceed we need to make sure that  $\mathbb{S}_X$  is actually a compact object in  $\text{Sp}^X$ . Let  $\pi : X \rightarrow x$ , then  $\mathbb{S}_X = \pi^*\mathbb{S}$  where the upper star denotes the pullback for functor categories. So we want that  $\pi^*$  preserves compact objects. This is true by abstract nonsense whenever  $\text{fib}(\pi) \simeq X$  is compact in  $\mathbb{S}$ .

We will now prove a Mayer-Vietoris type result for  $\mathbb{S}_X$ . For this week need some version of functoriality of  $\text{Sp}^{[-]}$ . The most natural choice is the pullback along a functor, but as we know this does not always preserve compact objects. This would also make  $\text{Sp}^{[-]}$  contravariant, but we want it to be covariant (the functoriality of the group ring functor is covariant). For this reason, to a functor  $f : X \rightarrow Y$  we assign the functor  $f_! : \text{Sp}^X \rightarrow \text{Sp}^Y$ , the left adjoint to  $f^*$  given by left Kan extension. This  $f_!$  *always* preserves compact objects.

**Theorem 3.2.** *Suppose that we have a pushout in  $\mathcal{S}$*

$$\begin{array}{ccc} A & \longrightarrow & C \\ \downarrow & & \downarrow \\ B & \longrightarrow & D \end{array}.$$

Let  $i_A, i_B$ , and  $i_C$  denote the maps from  $A, B$ , and  $C$  into  $D$ . Then the sequence

$$(i_A)_!\mathbb{S}_A \rightarrow (i_B)_!\mathbb{S}_B \oplus (i_C)_!\mathbb{S}_C \rightarrow \mathbb{S}_D$$

is exact in  $\text{Sp}^D$ .

*Proof.* The pushout gives a pushout in the over category  $\mathcal{S}/_X$ . Lurie [Lur09] 3.2.0.1 shows that straightening map  $\mathcal{S}/_X \rightarrow \text{Fun}(X, \mathcal{S})$  given by  $(Y \xrightarrow{f} X) \mapsto f_!*_Y$  is an equivalence. This equivalence takes our pushout in  $\mathcal{S}/_D$  to the pushout

$$(i_A)_!*_A \rightarrow (i_B)_!*_B + (i_C)_!*_C \rightarrow *_D$$

in  $\text{Fun}(D, \mathcal{S})$ . Then by applying the functor  $\Sigma_+^\infty : \text{Fun}(D, \mathcal{S}) \rightarrow \text{Fun}(D, \mathbb{S}p)$  we get exactly the sequence we desire.  $\square$

Before we move on to Wall's theorem we need one last thing.

**Definition/Theorem 3.3.** Let  $X \in \mathcal{S}^\omega$ , let  $\pi_X : X \rightarrow *$  be the terminal map. Then define  $\chi_X = (\pi_X)_!(\mathbb{S}_X)$ , then  $[\chi_X]$  is the Euler characteristic of  $X$ .

*Proof.* To check this we can verify this on  $\emptyset, *$ , and the check on pushouts of spaces by applying the Sum theorem for  $K$ -theory to the exact sequence given by the theorem above.  $\square$

4. A PARTIAL PROOF OF WALL’S THEOREM

*Proof of Theorem 2.3.* Suppose that  $X \in \mathcal{S}^{\text{fin}}$ . We want to show that  $[\mathbb{S}p^X]$  vanishes in the cofibre of the map  $x_! : K((\mathbb{S}p^*)^\omega) \rightarrow K((\mathbb{S}p^X)^\omega)$  where  $x : * \rightarrow X$ . To do this we can lift  $[\mathbb{S}_X]$  along  $x_!$ . We will show that  $[\chi(X)]$  is a lift for  $[\mathbb{S}_X]$ . Since  $X$  is finite it can be built by pushing out with a point finitely many times, i.e. it can be built from finitely many cells. We will “induct on cells”.

To start, we remark that this is trivially true for a point.

Now suppose that we have a push out

$$\begin{array}{ccc} A & \longrightarrow & C \\ \downarrow & & \downarrow \\ B & \longrightarrow & D \end{array} \quad \begin{array}{c} \\ \\ \tau \\ \end{array}$$

where we know that the Euler characteristic lifts the constant local system for  $A$ ,  $B$ , and  $C$ . Let  $a$ ,  $b$ ,  $c$ , and  $d$  be basepoints for each space. We have that

$$[d_! \chi(B)] = [(i_B)_! b_! \chi(B)] = [(i_B)_! \mathbb{S}_B]$$

Then we know that

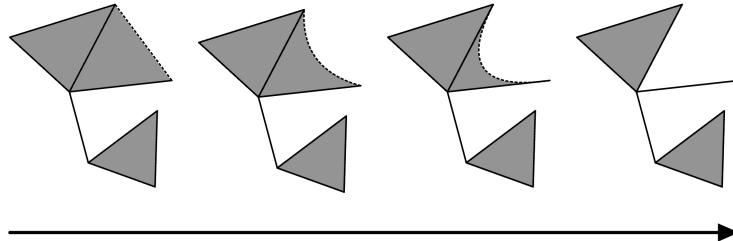
$$d_! \chi(D) = [d_! \chi(B)] + [d_! \chi(C)] - [d_! \chi(A)] = [(i_B)_! \mathbb{S}_B] + [(i_C)_! \mathbb{S}_C] - [(i_A)_! \mathbb{S}_A] = \mathbb{S}_D.$$

□

The ease of these proofs did not depend on working in local systems of spectra, it came from working in the language of  $\infty$ -categories. There are cases where we can use the power of the six-functor formalism for local systems to prove things about Wall’s obstruction, but we derive our motivation for working in local systems from a different perspective.

5. WHITEHEAD’S TORSION

**Definition 5.1.** If  $X$  is a finite simplicial complex then a *simple collapse* of  $X$  looks like:



This yields a homotopy equivalent space  $X'$ . A *simple expansion* is the inverse.

**Question 5.2.** *Is every homotopy equivalence  $f : Y \rightarrow X$  homotopy equivalent to a finite number of simple expansions and collapses?*

If  $f$  is homotopic to a finite number of simple expansions and collapses then  $f$  is called *simple*. This question was also answered in the negative by Whitehead. This time Whitehead assigned to  $f$  an element  $\tau(f)$  in  $\text{Wh}(\pi_1(X))$  (some quotient of  $K_1(\mathbb{Z}[\pi_1(X)])$ ). Whitehead then produces a theorem very similar to Wall<sup>1</sup>.

**Theorem 5.3** (Whitehead [Whi50]). *Suppose that  $f : Y \rightarrow X$  is a map finite simplicial complexes. Then  $f$  is simple if and only if  $\tau(f) = 0$ . Further for element of  $\tau \in \text{Wh}(G)$  there is an equivalence  $f : Z \rightarrow X$  with  $\tau(f) = \tau$ .*

<sup>1</sup>Although Whitehead was actually first.

We detail how  $\tau(f)$  can be seen from the local systems perspective. It is worth remarking that the torsion of  $f$  depends explicitly on the simplicial structures for  $X$  and  $Y$ .

For the map which allowed us to understand Wall's obstruction

$$K(\mathbb{S}p^*) \rightarrow K(\mathbb{S}p^X)$$

to also allow us to understand Whitehead's torsion we need to upgrade to a map

$$K(\mathbb{S}p^*) \otimes \Sigma_+^\infty X \rightarrow K(\mathbb{S}p^X).$$

This should've been the map we were using all along if we wanted to do Wall's obstruction for non-simply connected spaces. We give a brief definition of this map.

**Definition 5.4.** Define  $A(X) = K(\mathbb{S}p^X)$ , this is the  $A$ -theory of  $X$ . Writing  $X \simeq \operatorname{colim}_X *$  we get a map

$$\operatorname{colim}_X A(*) \rightarrow A(\operatorname{colim}_X *)$$

or in other words, a map

$$\alpha_X : A(*) \otimes \Sigma_+^\infty X \rightarrow A(X).$$

This  $\alpha_{[-]}$  is a natural transformation of functors called *assembly*. We call the cofibre of assembly  $\operatorname{Wh}(X)$  the *Whitehead spectrum* of  $X$ .

So for a space  $X$  Wall's obstruction is a point in the fibre. This allows us to define our analogue of a finite simplicial structure.

**Definition 5.5.** A *finiteness structure* on  $X$  is a lift of the point  $\mathbb{S}_X$  in  $A(X)$  along the assembly map, i.e. a point  $\ell_X \in A(*) \otimes \Sigma_+^\infty X$  and a path  $\varphi_X : \alpha_X(\ell_X) \rightarrow \mathbb{S}_X$ .

This finally allows us to define the Whitehead torsion.

**Definition 5.6.** Suppose  $f : X' \rightarrow X$  is an equivalence, and  $X$  and  $X'$  are given finiteness structures. Let  $\varepsilon_f : f_! f^* \rightarrow \operatorname{id}$  be the counit of the adjunction  $f_! \dashv f^*$ . Then the composition

$$\alpha_X(f(\ell_{X'})) \xrightarrow{f_!(\varphi_{X'})} f_! \mathbb{S}_Y \xrightarrow{\varepsilon_f} \mathbb{S}_X \xrightarrow{\varphi_X^{-1}} \alpha_X(\ell_X)$$

gives a loop in  $\operatorname{Wh}(X)$  which we call  $\tau_f$  the *torsion* of  $f$ .

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